

FACSIMILE TRANSMITTAL LETTER

PAGE 1 OF 9

THIRD REQUEST

PLEASE GIVE THIS MATTER YOUR URGENT ATTENTION

DATE: September 16, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants: Yoshinori Katoh et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-9195

FROM: Kevin S. Lemack
Registration No. 32,579
Nields & Lemack
176 E. Main Street
Westboro, MA 01581

TEL: (508) 898-1818
FAX: (508) 898-2020

MESSAGE:

Applicants respectfully ask to be advised as to the present status of the corrected filing receipt in the above application.

A request for correction of the filing receipt was faxed on March 14, 2005 and a reminder was faxed on June 16, 2005 (copy enclosed).

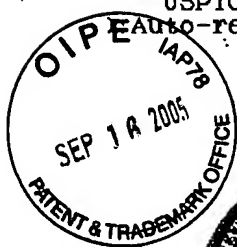
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Kevin S. Lemack

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06/16/2005 14:09	5088982020	NLDLAW	PAGE 01
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PAGE 1 OF 7			
SECOND REQUEST			
DATE:	June 16, 2005		
TO:	Initial Patent Examination's Filing Receipt Corrections		
RE:	Applicants: Yoshinari Kato et al. Serial No. 10/506,449 Filing Date: September 1, 2004 Our Ref: 876P063		
FAX NO:	(703) 746-9156		
FROM:	Kevin S. Lemaick Registration No. 32,579 Nichols & Lemaick 176 E. Main Street Westboro, MA 01581		
FAX:	(508) 898-2020	TEL:	(508) 898-1818
MESSAGE:			
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_____ Kevin S. Lemaick		_____ June 16, 2005 Date	
PAGE 17 * RCVD AT 9/16/2005 3:15:01 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-21 * DNIS:7469156 * CSID:5088982020 * DURATION (mm-ss):03:36			

**FACSIMILE TRANSMITTAL LETTER**

PAGE 1 OF 7

SECOND REQUEST

DATE: June 16, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants: Yoshinori Katoh et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-9195

FROM:

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Registration No. 32,579
Nields & Lemack
176 E. Main Street
Westboro, MA 01581

TEL: (508) 898-1818

FAX: (508) 898-2020

MESSAGE:

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A request for correction of the filing receipt was faxed on March 14, 2005. (a copy enclosed).

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FACSIMILE TRANSMITTAL LETTER

PAGE 1 OF 5

DATE: March 14, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants: Yoshinori Kato et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-0195

FROM: Kevin S. Lennick
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Westboro, MA 01581
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MESSAGE:

Pursuant to the mandate of the filing receipt sent by the Patent and Trademark Office in the above case, a verification of the accuracy of the data presented therein has revealed the following error(s):

The filing receipt incorrectly listed the title. Please correct the following:

"Phthalocyanine compound, water base ink composition and colored material"


should read

Phthalocyanine compounds, water-based ink compositions and colored products --

Please make the appropriate corrections. A photocopy of the filing receipt with corrections and a copy of the executed Declaration are enclosed herewith.

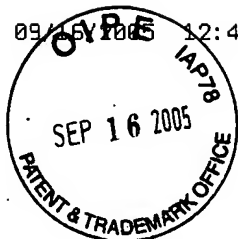
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PAGE 1/5 * RCVD AT 3/14/2005 3:34:27 PM [Eastern Standard Time] * SVR:USPTO-EFAXF-3/2 * DNIS:7469195 * CSID:5088982020 * DURATION (mm-ss):01-46

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PAGE 1 OF 5

DATE: March 14, 2005

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Serial No. 10/506,449
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MESSAGE:

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The filing receipt incorrectly listed the title. Please correct the following:

"Phthalocyanine compound, water-base ink composition and colored material"


should read

-- Phthalocyanine compounds, water-based ink compositions and colored products --

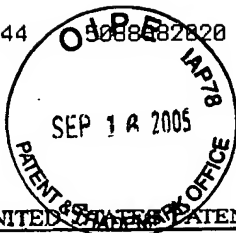
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APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FIL FEE REC'D	ATTY, DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/506,449	09/01/2004	1755	1458	576P068	1	25	4

CONFIRMATION NO. 9975

42764

NIELDS & LEMACK
 176 EAST MAIN STREET, SUITE 7
 WESTBORO, MA 01581

FILING RECEIPT



OC000000015133795

Date Mailed: 02/14/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshinori Katoh, Saitama, JAPAN;
 Isao Yamaguchi, Chiba, JAPAN;

Power of Attorney:

Kevin Lemack-32579

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/02559 03/05/2003

Foreign Applications

JAPAN 2002-62281 03/07/2002

Projected Publication Date: 05/19/2005

Non-Publication Request: No

Early Publication Request: No

Title

Phthalocyanine compound^s, water-base^d ink composition^s and colored ~~material~~^{Products}

Preliminary Class

106

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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
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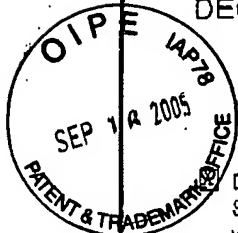
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PTC/SB/01 (10-00)

Approved for use through 10/31/2003. OMB 0551-0032

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
with Initial
Filing

OR

☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number	576P068
First Named Inventor	Yoshinori Katoh
COMPLETE IF KNOWN	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PHTHALOCYANINE COMPOUNDS, WATER-BASED INK COMPOSITIONS
AND COLORED PRODUCTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY). 03/05/2003

as United States Application Number or PCT International

Application Number PCT/JP03/02559 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
2002-62281	JAPAN	03/07/2002	<input type="checkbox"/>	YES <input type="checkbox"/>	NO <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 42754 OR ☒ Correspondence address below

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State MA

ZIP 01581

Country U.S.A.

Telephone (508) 898-1818

Fax (508) 898-2020

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name YOSHINORI
(first and middle (if any))Family Name KATOH
or SurnameInventor's
Signature

Yoshinori Katoh

Date MAY 24, 2004

Residence: City

Saitama

State

Country JAPAN

Citizenship

JP

Mailing Address

2-1639-1, Nisshin-cho, Kita-ku, Saitama-shi, Saitama 331-0823 JAPAN

Mailing Address

City Saitama

State

ZIP 331-0823

Country JAPAN

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name ISAO
(first and middle (if any))Family Name YAMAGUCHI
or SurnameInventor's
Signature

Isao Yamaguchi

Date MAY 24, 2004

Residence: City

Chiba

State

Country JAPAN

Citizenship

JP

Mailing Address

1-19-4, Nishimatsugaoka, Nagareyama-shi, Chiba 270-0142 JAPAN

Mailing Address

City Chiba

State

ZIP 270-0142

Country JAPAN

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

(Page 2 of 2)